

# Privacy Notice

## Introduction

Gogarty Financial Ltd is committed to protecting and respecting your privacy. We wish to be transparent on how we process your data and show you that we are accountable with the GDPR in relation to not only processing your data but ensuring you understand your rights as a client.

It is the intention of this privacy statement to explain to you the information practices of Gogarty Financial Ltd in relation to the information we collect about you.

Please read this Statement carefully as this sets out the basis on which any personal data we collect from you, or that you provide to us, will be processed by us.

## Who are we?

We are Gogarty Financial Ltd and we are a financial planning led intermediary authorised by the Central Bank:

- As an investment intermediary under the Investment Intermediaries Act, 195
- As an insurance intermediary under the European Union (Insurance Distribution) Regulations, 2018

For the purposes of the GDPR the data controller is:

- Gogarty Financial Ltd
- Address 16 Woodlands ,Portmarnock,Co Dublin. Email [info@gfl.ie](mailto:info@gfl.ie). Ph 01 8461626
- When we refer to 'we' it is Gogarty Financial Ltd.

## Purpose for processing your data and why we are processing your data? Our legal basis.

In order to provide you with our services , financial planning, investment and retirement planning advice it is necessary for us to collect and process your personal data. We are required, therefore, to inform you of the legal bases for processing your personal data. One such legal basis is that the processing is necessary for the performance of the advisory services contract (and any other contract) that we commit to delivering to you from time to time. Another legal basis is that some processing is necessary for the purposes of the legitimate interest that we have, as a firm that is authorised and regulated by the Central Bank of Ireland, in the proper administration and correct operation of our firm. There may also be circumstances where the legal basis for processing your personal data is consent (where we have sought it and it has been provided to us), in which case that consent may be withdrawn at any time.

In any event, Gogarty Financial Ltd are committed to ensuring that the information we collect and use is appropriate for this purpose, and does not constitute an invasion of your privacy.

## How will Gogarty Financial Ltd use the personal data it collects about me?

We will process (collect, store and use) the information you provide in a manner compatible with the EU's General Data Protection Regulation (GDPR). We will endeavour to keep your information accurate and up to date, and not keep it for longer than is necessary.

## Who are we sharing your data with?

We may pass your personal data on to third-party service providers contracted to Gogarty Financial Ltd in the course of dealing with you. Any third parties that we may share your data with are obliged to keep your details securely, and to use them only to fulfil the service they provide on your behalf. When they no longer need your data to fulfil this service, they will dispose of the details in line with procedures.

If we transfer personal data to a third party or outside the EU we as the data controller will ensure the recipient (processor or another controller) has provided the appropriate safeguards and on condition that enforceable data subject rights and effective legal remedies for you the data subject are available.

## Data Subjects Rights:

Gogarty Financial Ltd facilitate you, our clients, rights in line with our data protection policy and the subject access request procedure. This is available on request.

## Your rights as a data subject

At any point while we are in possession of or processing your personal data, you, the data subject, have the following rights:

- **Right of access** – you have the right to request a copy of the information that we hold about you.
- **Right of rectification** – you have a right to correct data that we hold about you that is inaccurate or incomplete.
- **Right to be forgotten** – in certain circumstances you can ask for the data we hold about you to be erased from our records.
- **Right to restriction of processing** – where certain conditions apply to have a right to restrict the processing.
- **Right of portability** – you have the right to have the data we hold about you transferred to another organisation.
- **Right to object** – you have the right to object to certain types of processing such as direct marketing.
- **Right to object to automated processing, including profiling**
- **Right to judicial review:** in the event that Organisation Name refuses your request under rights of access, we will provide you with a reason as to why.

All of the above requests will be forwarded on should there be a third party involved as we have indicated in the processing of your personal data.

### **Retention of your personal data**

Data will not be held for longer than is necessary for the purpose(s) for which they were obtained. Gogarty Financial Ltd will process personal data in accordance with our retention schedule. This retention schedule has been governed by our regulatory body and our internal governance.

### **Complaints**

In the event that you wish to make a complaint about how your personal data is being processed by Gogarty Financial Ltd or how your complaint has been handled, you have the right to lodge a complaint with the firm.

You may also lodge a complaint with the Data Protection Commission in Ireland, whose details are:

Data Protection Commission  
Canal House,  
Station Road,  
Portarlinton,  
Co. Laois, R32 AP23  
Phone: + 353 57 868 4800 / + 353 761 104 800  
Fax: + 353 57 868 4757  
Web: [www.dataprotection.ie](http://www.dataprotection.ie)  
Email: [info@dataprotection.ie](mailto:info@dataprotection.ie)

### **Contact Us**

Your privacy is important to us. If you have any comments or questions regarding this statement, please contact us on 01 8461626 or email us at [info@gfl.ie](mailto:info@gfl.ie)

### **Privacy policy statement changes**

Gogarty Financial Ltd may change this privacy policy from time to time. When such a change is made, we will post a revised version online. Changes will be effective from the point at which they are posted. It is your responsibility to review this privacy policy periodically so you're aware of any changes. By using our services you agree to this privacy policy.

Last reviewed Jan 14th 2025.